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& LIONE

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: February 27, 2007

Name: Jason M. Weinert, Reg. No. 55,722

Signature: *Jason M. Weinert*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

HASTWELL et al.

Serial No.: 10/562,371

Filing Date: December 22, 2005

For: SUBSTRATES FOR SPATIALLY SELECTIVE
MICRON AND NANOMETER SCALE
DEPOSITION AND COMBINATORIAL
MODIFICATION AND FABRICATION

Our Case No. 13004/5 (21000US)

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Attention: Manager
Application Branch

TRANSMITTAL

Sir:

Attached are:

- ☒ Information Disclosure Statement; PTO Form 1449; copies of cited references A2-A4, International Preliminary Report on Patentability and International Search Report for the corresponding PCT Application No. PCT/AU2004/000865.
- ☒ Return Receipt Postcard.

Fee calculation:

- ☐ No additional fee is required.
- ☒ Small Entity.
- ☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(_____).
- ☐ An additional filing fee has been calculated as shown below:

| | | | | | Small Entity | | | Not a Small Entity | |
|---|-------------------------------------|-------|------------------------------------|------------------|--------------|-----------|----|--------------------|-----------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Add'l Fee | or | Rate | Add'l Fee |
| Total | | Minus | | | X \$25= | | | x \$50= | |
| Indep. | | Minus | | | X 100= | | | x \$200= | |
| First Presentation of Multiple Dep. Claim | | | | | +\$180= | | | + \$360= | |
| | | | | | Total | \$ | | Total | |

- ☐ A check in the amount of \$_____ to cover the fee is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____ . A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

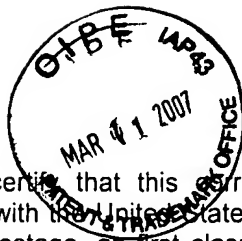
Respectfully submitted,

Jason M. Weinert

February 27, 2007

Date

Jason M. Weinert (Reg. No. 55,722)



I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
on February 27, 2007

Date of Deposit

Jason M. Wejnert, Reg. No. 55,722

Name of applicant, assignee or
Registered Representative

Jason M. Wejnert

Signature

February 27, 2007

Date of Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

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For: SUBSTRATES FOR SPATIALLY
SELECTIVE MICRON AND NANOMETER
SCALE DEPOSITION AND COMBINATORIAL
MODIFICATION AND FABRICATION

Our Case No. 13004/5 (21000US)

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following references:

U.S. AND FOREIGN REFERENCES

| Patent/ Publication No. | Date of Issue/Publication | Patentee/Applicant/Country |
|----------------------------|------------------------------|----------------------------|
| US 6,855,501 B2 | 02/15/2005 | Huang |
| WO 03/31067 A1 | 04/17/2003 | PCT |
| WO 02/25936 A1 | 05/11/2000 | PCT |
| WO 01/15800 A1 | 03/08/1992 | PCT |

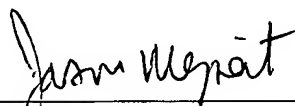
For the Examiner's convenience, Applicant is enclosing Form PTO-1449 (one sheet) and copies of cited references A2-A4. The relevance of the non-English references is noted in the enclosed International Preliminary Report on Patentability and International Search Report for the corresponding PCT Application No. PCT/AU2004/000864, attached English Abstracts and/or corresponding U.S. Patents. Applicants respectfully request the Examiner's consideration of the above references and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

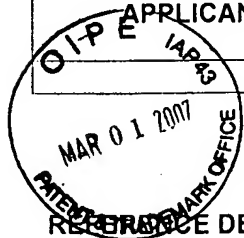
February 27, 2007



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| | | |
|---|---|---|
| FORM PTO-1449 | SERIAL NO. 10/562,371 | CASE NO. 13004/5 (21000US) |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | FILING DATE December 22, 2005 | GROUP ART UNIT Not Yet Assigned |
| APPLICANTS: HASTWELL et al. | | |



REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER Number-Kind Code (if known) | DATE | NAME | CLASS/ SUBCLASS | FILING DATE |
|---------------------|----|---|------------|-------|--------------------|----------------|
| | A1 | US 6,855,501 B2 | 02/15/2005 | Huang | | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER Number-Kind Code (if known) | DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES OR NO |
|---------------------|----|---|------------|---------|--------------------|--------------------------|
| | A2 | WO 03/31067 A1 | 04/17/2003 | PCT | | n/a |
| | A3 | WO 00/25936 A1 | 05/11/2000 | PCT | | n/a |
| | A4 | WO 01/15800 A1 | 03/08/2001 | PCT | | n/a |

| | |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.